

U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

B/O Form PTO-1390		Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 USC 371		Attorney's Docket Number ETOR3004/GAL U.S. Application Number (if known) 10/591396
International Application Number PCT/JP2005/003530		International Filing Date 02 March 2005		Priority Date Claimed 03 March 2004
Title of Invention LIGHT CONTROL FILM AND BACKLIGHT UNIT USING THE SAME				
Applicant(s) for DO/EO/US Hideki ETORI				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items under 35 USC 371:				
1.	<input checked="" type="checkbox"/>	This is a FIRST submission of items concerning a filing under <i>35 USC 371</i> .		
2.	<input type="checkbox"/>	This is a SECOND or SUBSEQUENT submission of items concerning a filing under <i>35 USC 371</i> .		
3.	<input checked="" type="checkbox"/>	This express request to begin national examination procedures (<i>35 USC 371(f)</i>) at any time rather than delay examination until the expiration of the applicable time limit set in <i>35 USC 371(b)</i> and PCT Articles 22 and 39(1).		
4.	<input type="checkbox"/>	The U.S. has been elected (Article 31).		
5.	<input checked="" type="checkbox"/>	A copy of the International Application as filed <i>35 USC 371(c)(2)</i> .		
	a.	<input checked="" type="checkbox"/>	is transmitted herewith (required only if not transmitted by the International Bureau).	
	b.	<input checked="" type="checkbox"/>	has been transmitted by the International Bureau.	
	c.	<input type="checkbox"/>	is not required, as the application was filed in the United States Receiving Office (RO/US).	
6.	<input checked="" type="checkbox"/>	A translation of the International Application into English (<i>35 USC 371(c)(2)</i>).		
7.	<input type="checkbox"/>	Amendments to the claims of the International Application under PCT Article 19 (<i>35 USC 371(c)(3)</i>)		
	a.	<input type="checkbox"/>	are transmitted herewith (required only if not transmitted by the International Bureau).	
	b.	<input type="checkbox"/>	have been transmitted by the International Bureau.	
	c.	<input type="checkbox"/>	have not been made; however, the time limit for making such amendments has NOT expired.	
	d.	<input type="checkbox"/>	have not been made and will not be made.	
8.	<input type="checkbox"/>	A translation of the amendments to the claims under PCT Article 19 (<i>35 USC 371(c)(3)</i>).		
9.	<input checked="" type="checkbox"/>	An oath or declaration of the inventor(s) (<i>35 USC 371(c)(4)</i>). (<input type="checkbox"/> Executed <input type="checkbox"/> Unexecuted)		
10.	<input type="checkbox"/>	A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (<i>35 USC 371(c)(5)</i>).		
Items 11 to 16 below concern other document(s) or information included:				
11.	<input checked="" type="checkbox"/>	An Information Disclosure Statement under <i>37 CFR 1.97</i> and <i>1.98</i> .		
12.	<input checked="" type="checkbox"/>	An assignment document for recording. A separate cover sheet in compliance with <i>37 CFR 3.28</i> and <i>3.31</i> is included.		
13.	<input checked="" type="checkbox"/>	A preliminary amendment.		
14.	<input checked="" type="checkbox"/>	An Application Data Sheet under <i>37 CFR 1.76</i> .		
15.	<input type="checkbox"/>	A substitute specification.		
16.	<input type="checkbox"/>	A change of power of attorney and/or address letter.		
17.	<input type="checkbox"/>	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and U.S.C. 1.821 - 1.825.		
18.	<input type="checkbox"/>	A second copy of the published international application under 35 U.S.C. 154(d)(4).		
19.	<input type="checkbox"/>	A second copy of the English translation of the international application under 35 U.S.C. 154(d)(4)		
20.	<input type="checkbox"/>	Other items or information:		

Application Number (if Known) 10/591396		International Application Number PCT/JP2005/003530		Attorney's Docket Number ETOR3004/GAL	
				Calculations	PTO USE
21. The following fees are submitted:					
<input checked="" type="checkbox"/> a) Basic national fee.....\$300.00				\$500.00	
<input checked="" type="checkbox"/> b) Examination fee\$200.00					
<input checked="" type="checkbox"/> c) Search Fee:					
<input type="checkbox"/> If the written opinion of the ISA/US or the International preliminary examination report prepared b IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0.00					
<input type="checkbox"/> Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100.00					
<input checked="" type="checkbox"/> International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....\$400.00				\$400.00	
<input type="checkbox"/> All other situations.....\$500.00					
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fractions thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fractions thereof (round up to a whole number)	RATE		
- 100 =	/50=		x \$250.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
CLAIMS	NUMBER	NUMBER EXTRA	RATE		
Total Claims	16 -20 =	0	x \$50.00		
Independent Claims	12 -3 =	9	x \$200.00	\$ 1,800.00	
Multiple Dependent Claims (if applicable)			+ \$360.00		
TOTAL OF ABOVE CALCULATIONS					
<input type="checkbox"/> Reduction by 1/2 for filing by small entity, if applicable. Small Entity Status is asserted pursuant to 37 CFR 1.27 for this application.					
SUBTOTAL				\$ 2,700.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					
TOTAL NATIONAL FEE				\$ 2,700.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.				\$ 40.00	
TOTAL FEES ENCLOSED				\$ 2,740.00	
			Amount to be:	Refunded:	
				Charged:	

- a. ☒ A check in the amount of \$ 2,740.00 to cover the fees is enclosed.
- b. ☐ Please charge my Deposit Account Number 02-0200 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account Number 02-0200. A duplicate copy of this sheet is enclosed.

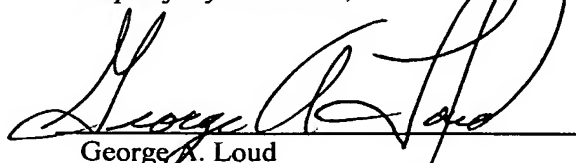
Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

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Customer Number
Phone: (703) 683-0500

DATE: September 1, 2006

Respectfully submitted,



George A. Loud

Attorney for Applicant
Registration Number: 25,814